



STOCKBROKERS MALAWI LIMITED
(A Subsidiary of National Bank of Malawi)

APPLICATION FOR THE OPENING OF MONEY MARKET OR SHARE TRADING ACCOUNT

Date.....

A. Nature of account to be opened

- i. Equity Trading account ☐ ii. Money Market account ☐

B. Personal details.

- I. Full name.....
- II. Nationality.....
- III. Marital Status.....
- IV. Spouse's Full Name.....
- V. Maiden Name.....
(for married woman)
- VI. Nature of residential permit (if not Malawian Citizen)
.....
- VII. Date of birth: Day/Month.....Year.....

C. Contact Details

- i. Postal Address.....
- ii. Physical Address House No.....Plot/Deed No.....
(Residential Address)
- Street name.....
- Location/Township.....

Detailed description of location (if physical address is not available)

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.....
.....
.....
.....
.....

iii. Permanent Address:

Village.....

Traditional Authority.....

District.....

iv. Cell No

v. Email Address

D. Next of next of Kin

I. Full Name.....

II. Phone Number.....

III. Email address

IV. Relationship.....

E. Occupation

i. If “Employed”, following information be provided

(a) Name of Employer

(b) Designation

(c) Employer's Postal Address.....

(d) Employer's Physical Address.....

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ii. If “Self-Employed”, following information be given

(a) Number of years self-employed.....

(b) Type of Business.....

iii. If “Not Employed”, Student or Others following information be given

(a) Source of Income.....

F. Identification Details

ID Type:..... Issue Date:..... Expiry Date:.....

G. Expected monthly income & banking details

i. Expected income - Tick in the appropriate box below: -

- (a) K300,000 & below ☐
(b) Above K300,000 & below K5million ☐
(c) Above K5 million ☐

ii. Banking details

Bank name: Branch name:

Account type:Account No:

H. Proof of Residence

Any of the following:

☐ ESCOM ☐ Water Board ☐ MTL ☐ City/Ground Rates ☐ MHC Invoice ☐ Other Utility
Bill Account Number:.....

I. Declaration

- i. *I/We hereby declare that the information given by me/us hereinabove is true, and agree that Stockbrokers Malawi Limited will be fully justified to close the account and report to relevant authorities without any notice to me/us if it discovers that any given information is false.*
- ii. *Stockbrokers Malawi Limited will not be responsible for whatsoever liability arising from this mandate. As Stockbrokers Malawi Limited invests funds as per client instructions and does not offer any financial Advise*

Applicant's Signature.....Date.....

Joint Applicant's Signature.....Date.....
(In case of joint account)

FOR OFFICE USE ONLY

Is the client a PEP

☐ Yes

☐ No

OFAC/SDN/UNSC List Search

☐ Matched

☐ Did not match

AML RISK Grade

☐ High

☐ Medium

☐ Low

DATE OPENED.....ACCOUNT NUMBER.....

CHECKED BY.....

NAME OF ACCOUNT OPENING OFFICER.....

APPLICATION APPROVED/DECLINED BY.....

J. SKETCH MAP (if physical address is not available)