



STOCKBROKERS MALAWI LIMITED (AUTHORISED STOCKBROKERS)

APPLICATION FOR OPENING OF A NEW MONEY MARKET/SHARE ACCOUNT

CHECKLIST OF REQUIRED DOCUMENTS FOR OPENING ACCOUNT

- | | |
|---|--|
| ☐ Completed account opening forms | ☐ Registration Certificate/ Certificate of Incorporation |
| ☐ Memorandum and Articles of Association if a limited company | ☐ Board Resolution if a Limited Company |
| ☐ Copy of IDs for directors/signatories | ☐ Utility Bill(water/electricity) for business premises or place of residence for directors/signatories |
| ☐ Sketch map for place of business or place of residence | ☐ Partnership agreement if business is registered as a Partnership |
| ☐ Copy of Constitution and minutes if business is falling under clubs and Societies. | ☐ MITC/International Trade Certificate (Foreign Companies) |
| ☐ Bank statement covering at least previous months | ☐ Letter to SML with specimen signatures for the organisation's approved signatories (SML to provide letter template) |

A. Type of organisation (Tick whichever is appropriate)

- ☐ Corporate ☐ Partnership ☐ Club ☐ Society ☐ NGO ☐ SME ☐ Association
- ☐ Other (specify).....

B. Type of account being applied for (Tick whichever is appropriate)

- ☐ Money Market ☐ Equity Trading

C. Particulars of the entity

Entity's short name:.....

Entity's full name:

Country of incorporation:Country of residence:

Entity's registration number:.....Date of registration/incorporation:

Tax payer identification number:.....

D. Business Information

Type of Business:.....

Source of Capital:

Bankers:.....

Number of employees.....Expected Annual Turnover:.....

E. Contact Details

Physical Address:

Postal Address:

Town:City:Post Code:

Country:Tel. Nos:.....

Mobile Nos:.....Fax Nos:

E-mail:.....

Preferred Email(s) for e-Statements:

Website:

F. List of Senior Management of Your Company

Name	Position	Phone no.	ID Type	ID Number

G. List of Directors of your Company

Name	Position	Phone no.	ID Type	ID Number

H. Related Parties (Other businesses)

Name		
Postal Address		
Physical Address		
Phone Number		
Account Number		
Type of Business		

FOR OFFICE USE ONLY

Is the client a PEP	☐ Yes	☐ No
OFAC/SDN/UNSC List Search	☐ Matched	☐ Did not match
AML RISK Grade	☐ High	☐ Medium ☐ Low
This account has been approved	☐ Approved	☐ Declined
CHECKED BY:		
APPLICATION APPROVED BY:		
DATE OPENED: ACCOUNT NUMBER:		
ACCOUNT OFFICER: INDUSTRY:		
NOTES:		
.....		
.....		
.....		
.....		